



10-24-00

Sector 1 # ✓

Please type a plus sign (+) inside this box → ☐PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|  |                      |                        |                   |
|--|----------------------|------------------------|-------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/607,514             |                   |
|  | Filing Date          | June 28, 2000          |                   |
|  | First Named Inventor | GONG, L.               |                   |
|  | Group Art Unit       | 2767                   |                   |
|  | Examiner Name        |                        |                   |
| Total Number of Pages in This Submission   | 5                    | Attorney Docket Number | 83000.930C/P2136C |

| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group   |
| <input checked="" type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences   |
| <input type="checkbox"/> Amendment / Response  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)                                      |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                                   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                                   | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Additional Enclosure(s) (please identify below)  |
| <input type="checkbox"/> Express Abandonment Request                                 | <input type="checkbox"/> Terminal Disclaimer  |   |
| <input type="checkbox"/> Information Disclosure Statement                            | <input type="checkbox"/> Small Entity Statement   |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                      | <input type="checkbox"/> Request for Refund   |   |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks   | Additional Enclosure(s):<br>1) Copy of Notice to File Missing Parts; 2) Express Mail certificate of mailing; 3) Return postcard |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53         |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Gary A. Hecker<br>THE HECKER LAW GROUP |
| Signature                                  |  |
| Date                                       | Oct. 23, 2000                          |

| CERTIFICATE OF MAILING  |                   | Express Mail |
|---|-------------------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as <del>first class mail</del> in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Oct. 23, 2000 |                   |              |
| Typed or printed name   | Nancy V. McElrath |              |
| Signature   |                   | Date         |
|   |                   | 10-23-00     |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Label No.  
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#3

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 1,120

## Complete if Known

|                      |                       |
|----------------------|-----------------------|
| Application Number   | 09/607,514            |
| Filing Date          | 06/28/00              |
| First Named Inventor | GONG, L.              |
| Examiner Name        |                       |
| Group / Art Unit     | 2767                  |
| Attorney Docket No.  | 83000.930C/P2136C/AES |

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 690                    | 201 345                    | Utility filing fee     | \$690    |
| 106 310                    | 206 155                    | Design filing fee      |          |
| 107 480                    | 207 240                    | Plant filing fee       |          |
| 108 690                    | 208 345                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |

SUBTOTAL (1) (\$ 690

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 28                 | -20** = 8    | 18             | \$144    |
| 5                  | -3** = 2     | 78             | 156      |
| Multiple Dependent |              |                | \$300    |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 78                     | 202 39                     | Independent claims in excess of 3                          |
| 104 260                    | 204 130                    | Multiple dependent claim, if not paid                      |
| 109 78                     | 209 39                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 300

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$)         | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|------------------------------------|----------------------------|--|----------|
| 105 130                            | 205 65                     | Surcharge - late filing fee or oath  | \$130    |
| 127 50                             | 227 25                     | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139 130                            | 139 130                    | Non-English specification  |          |
| 147 2,520                          | 147 2,520                  | For filing a request for reexamination                                     |          |
| 112 920*                           | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                         | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                            | 215 55                     | Extension for reply within first month                                     |          |
| 116 380                            | 216 190                    | Extension for reply within second month                                    |          |
| 117 870                            | 217 435                    | Extension for reply within third month                                     |          |
| 118 1,360                          | 218 680                    | Extension for reply within fourth month                                    |          |
| 128 1,850                          | 228 925                    | Extension for reply within fifth month                                     |          |
| 119 300                            | 219 150                    | Notice of Appeal   |          |
| 120 300                            | 220 150                    | Filing a brief in support of an appeal                                     |          |
| 121 260                            | 221 130                    | Request for oral hearing   |          |
| 138 1,510                          | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                            | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,210                          | 241 605                    | Petition to revive - unintentional   |          |
| 142 1,210                          | 242 605                    | Utility issue fee (or reissue)   |          |
| 143 430                            | 243 215                    | Design issue fee   |          |
| 144 580                            | 244 290                    | Plant issue fee  |          |
| 122 130                            | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                             | 123 50                     | Petitions related to provisional applications                              |          |
| 126 240                            | 126 240                    | Submission of Information Disclosure Stmt                                  |          |
| 581 40                             | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 690                            | 246 345                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 690                            | 249 345                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| Other fee (specify) _____          |                            |  |          |
| Other fee (specify) _____          |                            |  |          |
| * Reduced by Basic Filing Fee Paid |                            |  |          |
| SUBTOTAL (3) (\$ 130               |                            |  |          |

## SUBMITTED BY

Name (Print/Type) Gary A. Becker, Esq.

Signature

Registration No. (Attorney/Agent) 31,023

## Complete (if applicable)

Telephone (310) 286-0377

Date Oct. 23, 2000

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

GONG, L.

Serial No: 09/607,514

Filed: June 28, 2000

For: METHOD AND APPARATUS FOR  
SIGNING AND SEALING OBJECTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D. C. 20231

Sir:

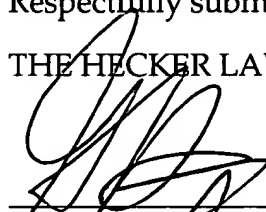
Responsive to the Notice to File Missing Parts of Application with a mailing date of August 21, 2000, Applicants have enclosed the following:

1. A check in the total amount of \$1120, consisting of the basic filing fee (\$690), additional claims fee (\$300), and surcharge (\$130) as set forth in 37 CFR §1.16(e);
2. A copy of the Notice to File Missing Parts;
3. Certificate of mailing by EXPRESS MAIL; and
4. A return receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment associated with this communication to The Hecker Law Group, Deposit Account No. 08-1520.

Respectfully submitted,

THE HECKER LAW GROUP



Date: October 23, 2000

Gary A. Hecker  
Reg. No. 31,023

1925 Century Park East  
Suite 2300  
Los Angeles, California 90067  
(310) 286-0377

**CERTIFICATE OF MAILING**

*This is to certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (Label No. EL705169665US) in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on Oct. 23, 2000.*

*Nancy V. McElath* 10-23-00  
Signature Date

## FORMALITIES LETTER



\*OC000000005339939\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/607,514         | 06/28/2000          | Li Gong               | 83000.930C/P2136/AES   |

Gary A Hecker  
The Hecker Law Group  
Suite 2300  
1925 Century Park East  
Los Angeles, CA 90067



Date Mailed: 08/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

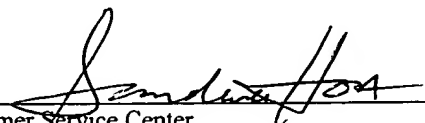
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$300.
  - \$144 for 8 total claims over 20.
  - \$156 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1120.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/25/2000 RUJUNG1 00000016 081520 09607514  
710.00 DP  
130.00 DP  
160.00 DP  
120.00 DP  
24.00 CH